

Commutative Algebra

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My notes for commutative algebra, based on the textbook Introduction to commutative algebra by M.F. Atiyah and I.G. Macdonald, Addison-Wesley.

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1. Ring

1.1. Rings & Ring Homomorphisms

Definition 1.1.1 (Ring) A is a non-empty set with binary operators $+$ and $\cdot$. Then A is a ring if

1. $(A, +)$ is an abelian group
2. $(A, \cdot)$ is a semigroup
3. $\forall a, b, c \in A, a \cdot (b + c) = a \cdot b + a \cdot c$ and $(a + b) \cdot c = a \cdot c + b \cdot c$

If, in addition, $\exists 1_A \in A$ such that $1_A \cdot a = a \cdot 1_A = a$ for all $a \in A$, then A is a ring with identity. If also $a \cdot b = b \cdot a$ for all $a, b \in A$, then A is commutative.

Remark

Throughout these notes, ring means commutative ring with identity.

Example.

1. $M_n(\mathbb{R}) = \left\{ (a_{ij})_{n \times n} : a_{ij} \in \mathbb{R} \right\}$, and the operations are defined as usual matrix addition and multiplication. Then $(M_n(\mathbb{R}), +)$ is an abelian group and $(M_n(\mathbb{R}), \cdot)$ is a semigroup, but not commutative.
2. $k[x] = \left\{ f(x) = \sum_{i=0}^n a_i x^i : a_i \in k, n \in \mathbb{N} \right\}$, then $k[x]$ is a commutative ring with identity.
3. $k[x_1, \dots, x_n]$ is a ring.

Definition 1.1.2 (Homomorphism) Let A, B be two rings. A map $\varphi : A \rightarrow B$ is called a homomorphism if

1. $\varphi(a + b) = \varphi(a) + \varphi(b)$
2. $\varphi(ab) = \varphi(a) \cdot \varphi(b)$
3. $\varphi(1_A) = 1_B$

Remark

If $f : A \rightarrow B, g : B \rightarrow C$ are ring homomorphisms, then $g \circ f : A \rightarrow C$ is a homomorphism.

Example. Let φ be a homomorphism between two rings A, B , we define

$$\ker \varphi = \{a \in A : \varphi(a) = 0\} \quad (1.1)$$

Obviously, $\ker \varphi$ is an ideal of A , and

$$\forall b \in A, a \in \ker \varphi, \Rightarrow \varphi(b \cdot a) = \varphi(b) \cdot \varphi(a) = \varphi(b) \cdot 0 = 0 \quad (1.2)$$

1.2. Ideals and Quotient Rings

Definition 1.2.1 (Ideal) Let $\mathfrak{a} \subset A$. If $\mathfrak{a}$ is a subgroup of $(A, +)$ and $\forall a \in A, b \in \mathfrak{a}, a \cdot b \in \mathfrak{a}$, then $\mathfrak{a}$ is an ideal of A .

Definition 1.2.2 (Quotient) Let $\mathfrak{a}$ is an ideal of ring A , then $A/\mathfrak{a}$ is a ring defined as follows:

$$A/\mathfrak{a} = \{a + \mathfrak{a} : a \in A\} \quad (1.3)$$

where the $a + \mathfrak{a}$ is the equivalence class of a , or residue class of a .

Remark

Let $\mathfrak{a}$ be an ideal of A , there is a surjective homomorphism

$$\varphi : A \rightarrow A/\mathfrak{a}, \quad \varphi(a) = a + \mathfrak{a} \quad (1.4)$$

Proposition 1.2.3 Let $\mathfrak{a}$ be an ideal of A . The ideals of $A/\mathfrak{a}$ are in one-to-one correspondence with the ideals $\mathfrak{b}$ of A such that $\mathfrak{a} \subset \mathfrak{b}$, by

$$\mathfrak{b} \mapsto \mathfrak{b}/\mathfrak{a} = \{b + \mathfrak{a} : b \in \mathfrak{b}\}. \quad (1.5)$$

1.3. Zero-divisors, Nilpotents and units

Definition 1.3.1 (Zero-divisor) x is a zero-divisor of A if $\exists y \neq 0 \in A$, s.t. $x \cdot y = 0$

A ring A with $1 \neq 0$ and no non-zero zero-divisors is called an integral domain.

Definition 1.3.2 (Nilpotent) x is a nilpotent of A if $\exists n \in \mathbb{Z}_+$, s.t. $x^n = 0$ ($n > 0$)

Remark

A nilpotent element is a zero-divisor unless $A = 0$, but not conversely in general.

Definition 1.3.3 (Unit) x is a unit of A if $\exists 1y \in A$, s.t. $x \cdot y = 1_A$

Remark

The element y is then uniquely determined by x and is written x^{-1} . The units of A form a multiplicative abelian group.

Definition 1.3.4 (Principal) An ideal $\mathfrak{a}$ is principal if $\exists a \in A$ such that $\mathfrak{a} = (a) = \{ab : b \in A\}$.

Definition 1.3.5 (Field) A is a field if $\forall a \neq 0 \in A$ is unit.

In other words, A is a field $\Leftrightarrow 0$ is the only non-unit.

Proposition 1.3.6 Let $A \neq 0$ be a ring. Then the following are equivalent:

1. A is a field
2. The only ideals in A are 0 and (1)
3. For every non-zero ring B , every ring homomorphism $\varphi : A \rightarrow B$ is injective.

Proof. $1 \Rightarrow 2$: Let $\mathfrak{a} \neq 0$ be an ideal of A . For any $0 \neq x \in \mathfrak{a}$, x is a unit. Hence $\mathfrak{a} \supset (x) = (1)$, so $\mathfrak{a} = (1)$.

$2 \Rightarrow 3$: Let $\varphi : A \rightarrow B$ be a homomorphism. Since $B \neq 0$, $\ker(\varphi) \neq (1)$. Hence $\ker(\varphi) = 0$, so φ is injective.

$3 \Rightarrow 1$: Let $x \in A$ be a non-unit. Then $(x) \neq (1)$, so $B = A/(x)$ is non-zero. The quotient map $\varphi : A \rightarrow B$, $a \mapsto a + (x)$ is injective by (3), hence $(x) = \ker(\varphi) = 0$. Therefore every non-zero element of A is a unit, so A is a field. $\square$

1.4. Prime ideals and maximal ideals

Definition 1.4.1 (Prime ideal) An ideal $\mathfrak{p} \subset A$ is prime ideal if

$$\mathfrak{p} \neq (1) \wedge (xy \in \mathfrak{p} \Rightarrow x \in \mathfrak{p} \vee y \in \mathfrak{p}) \quad (1.6)$$

Definition 1.4.2 (Maximal ideal) An ideal $\mathfrak{m} \subset A$ is maximal ideal if

$$\mathfrak{m} \neq (1) \wedge (\mathfrak{m} \subset \mathfrak{a} \subset A \Rightarrow \mathfrak{a} = \mathfrak{m} \text{ or } \mathfrak{a} = A) \quad (1.7)$$

Remark

1. $\mathfrak{p}$ is prime $\Rightarrow A/\mathfrak{p}$ is an integral domain
2. $\mathfrak{m}$ is maximal $\Rightarrow A/\mathfrak{m}$ is a field

Hence a maximal ideal is prime ideal, but not conversely. The 0 is prime $\Leftrightarrow A$ is an integral domain.

Prime ideals are fundamental to the whole of commutative algebra. The following theorem and corollaries ensure that there is always a sufficient supply of them.

Theorem 1.4.3 $\forall A \neq 0$ is a ring has at least one maximal ideal.

Corollary 1.4.3.1 If $\mathfrak{a} \neq (1)$ is an ideal of A , then $\exists \mathfrak{m}$ is a maximal ideal of A s.t. $\mathfrak{a} \subset \mathfrak{m}$.

Corollary 1.4.3.2 Every non-unit $a \in A$ belongs to some maximal ideal of A .

Proof. Since a is not a unit, $(a) \neq (1)$. By the previous corollary, there is a maximal ideal $\mathfrak{m}$ such that $(a) \subset \mathfrak{m}$. Hence $a \in \mathfrak{m}$. $\square$

Proposition 1.4.4

1. Let A be a ring, $\mathfrak{m} \neq (1)$ is an ideal of A , s.t. $\forall x \in A \setminus \mathfrak{m}$ is a unit of A . Then A is a local ring and $\mathfrak{m}$ is maximal ideal.
2. Let A be a ring, $\mathfrak{m}$ is maximal ideal of A , s.t. $\forall 1 + x$ (where $x \in \mathfrak{m}$) is a unit of A . Then A is a local ring.

Definition 1.4.5 (Local ring) A ring A is a local ring if A has a unique maximal ideal.

1.5. Nilradical and Jacobson radical

Proposition 1.5.1 The set $\mathfrak{N}$ of all nilpotent elements in A is an ideal, and $A/\mathfrak{N}$ has no non-zero nilpotent elements.

Proof. Let $\mathfrak{N} = \{x : x \text{ is nilpotent element in } A\}$, then

$$\begin{aligned} \forall a \in A, ax \in \mathfrak{N}, (x^n = 0 \Rightarrow (ax)^n = a^n x^n = 0 \in \mathfrak{N}) \\ \forall x, y \in \mathfrak{N}, x^m = 0, y^n = 0 \Rightarrow (x + y)^{m+n+1} = 0 \in \mathfrak{N} \Rightarrow x + y \in \mathfrak{N} \end{aligned} \quad (1.8)$$

Hence $\mathfrak{N}$ is an ideal of A . If $\bar{x} = x + \mathfrak{N}$ is nilpotent in $A/\mathfrak{N}$, then $\bar{x}^n = 0$ for some $n > 0$, so $x^n \in \mathfrak{N}$. Hence $(x^n)^m = 0$ for some $m > 0$, and therefore $x^{nm} = 0$. Thus $x \in \mathfrak{N}$ and $\bar{x} = 0$. $\square$

Definition 1.5.2 (Nilradical) The ideal $\mathfrak{N}$ is called the nilradical of A .

Proof. Let $\mathfrak{N}' = \bigcap \mathfrak{p}$, where $\mathfrak{p}$ runs over all prime ideals of A . If $f \in A$ is nilpotent, then $f^n = 0 \in \mathfrak{p}$ for every prime ideal $\mathfrak{p}$, hence $f \in \mathfrak{p}$ for every $\mathfrak{p}$ and $f \in \mathfrak{N}'$.

Conversely, suppose f is not nilpotent. Let $S = \{1, f, f^2, \dots\}$. Then $0 \notin S$. By the standard maximal ideal argument applied to ideals disjoint from S , there is a prime ideal $\mathfrak{p}$ with $\mathfrak{p} \cap S = \emptyset$. Hence $f \notin \mathfrak{p}$, so $f \notin \mathfrak{N}'$. $\square$

Proposition 1.5.3 Let $\mathfrak{N}$ be the nilradical of A . Then $\mathfrak{N} = \bigcap \mathfrak{p}$, where $\mathfrak{p}$ runs over all prime ideals of A .

Definition 1.5.4 (Jacobson radical) The Jacobson radical $\mathfrak{K}$ of A is defined to be $\mathfrak{K} = \bigcap \mathfrak{m}$, where $\mathfrak{m}$ is the maximal ideal of A .

Proposition 1.5.5 $x \in \mathfrak{K} \Leftrightarrow 1 - xy$ is a unit in $A, \forall y \in A$

Proof. $[\Rightarrow]$ Suppose $1 - xy$ is not unit in A , then $\exists \mathfrak{m}$, s.t. $1 - xy \in \mathfrak{m}$ by Corollary 1.4.3.2, but $\mathfrak{K} = \bigcap \mathfrak{m}$. Hence $xy \in \mathfrak{m} \Rightarrow 1 \in \mathfrak{m}$, which is a contradiction.

$[\Leftarrow]$ Suppose $x \notin \mathfrak{K}$. Then $\exists \mathfrak{m}$ maximal such that $x \notin \mathfrak{m}$. Since $\mathfrak{m}$ is maximal,

$$\mathfrak{m} \subset (x) + \mathfrak{m} = (1) \Rightarrow \exists y \in A, z \in \mathfrak{m}, \text{ s.t. } xy + z = 1 \Rightarrow z = 1 - xy \Rightarrow \mathfrak{m} = (1), (1.9)$$

so $1 - xy \in \mathfrak{m}$ is not a unit. This contradicts the hypothesis. $\square$

1.6. Operations on Ideals

1. Sum: $\mathfrak{a} + \mathfrak{b} = \{x + y : x \in \mathfrak{a}, y \in \mathfrak{b}\}$ is an ideal.
2. Intersection: $\mathfrak{a} \cap \mathfrak{b} = \{z : z \in \mathfrak{a} \cap \mathfrak{b}\}$ is an ideal.
3. Product: $\mathfrak{a} \cdot \mathfrak{b} = \{\sum x_i y_i : x_i \in \mathfrak{a}, y_i \in \mathfrak{b}\}$ is an ideal.

The three operations so far defined are commutative and associative. Also there is a distributive law

$$\mathfrak{a} \cdot (\mathfrak{b} + \mathfrak{c}) = \mathfrak{a} \cdot \mathfrak{b} + \mathfrak{a} \cdot \mathfrak{c} \quad (1.10)$$

Proof. $(\subset)$ Let $z \in \mathfrak{a}(\mathfrak{b} + \mathfrak{c}) \Rightarrow z = \sum a_i(b_i + c_i) = \sum a_i b_i + \sum a_i c_i \in \mathfrak{a}\mathfrak{b} + \mathfrak{a}\mathfrak{c}$

$(\supset)$ obviously. $\square$

Definition 1.6.1 (Coprime) $\mathfrak{a}, \mathfrak{b}$ are said to be coprime if $\mathfrak{a} + \mathfrak{b} = (1)$, equivalently if $\exists x \in \mathfrak{a}, y \in \mathfrak{b}$ such that $x + y = 1$.

Remark

Thus for coprime ideals we have $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{a} \cdot \mathfrak{b}$.

Proof. Since $\mathfrak{a} \cdot \mathfrak{b} \subset \mathfrak{a} \cap \mathfrak{b}$ is clear, only prove the reverse inclusion. Choose $x \in \mathfrak{a}, y \in \mathfrak{b}$ with $x + y = 1$. If $z \in \mathfrak{a} \cap \mathfrak{b}$, then $z = z(x + y) = zx + zy \in \mathfrak{a}\mathfrak{b}$. $\square$

4. Direct Product: Let $A_1, \dots, A_n$ be rings, put $A = \prod_{i=1}^n A_i$. Then A is a ring and

$$\begin{aligned} + : A \times A &\rightarrow A, & (x_1, \dots, x_n), (y_1, \dots, y_n) &\mapsto (x_1 + y_1, \dots, x_n + y_n) \\ \cdot : A \times A &\rightarrow A, & (x_1, \dots, x_n), (y_1, \dots, y_n) &\mapsto (x_1 y_1, \dots, x_n y_n) \end{aligned} \quad (1.11)$$

we call A is the direct product of A_i and $P_i : A \rightarrow A_i, (x_1, \dots, x_n) \mapsto x_i$ is a surjective homomorphism

Proposition 1.6.2 Let A be a ring and let $\mathfrak{a}_i$ be ideals of A .

$$\varphi : A \rightarrow \prod A/\mathfrak{a}_i, \quad x \mapsto (x + \mathfrak{a}_1, \dots, x + \mathfrak{a}_n) \quad (1.12)$$

then

1. If $\mathfrak{a}_i + \mathfrak{a}_j = (1) (i \neq j) \Rightarrow \prod \mathfrak{a}_i = \cap \mathfrak{a}_i$
2. φ is surjective $\Leftrightarrow \mathfrak{a}_i + \mathfrak{a}_j = (1)$
3. φ is injective $\Leftrightarrow \cap \mathfrak{a}_i = 0$

Proof. (3). $\{0\} = \ker(\varphi) = \varphi^{-1}(0, \dots, 0) = \{x \in A : x \in \mathfrak{a}_k, k = 1, \dots, n\} = \cap \mathfrak{a}_i$ $\square$

5. Union: If $\mathfrak{a}_1, \mathfrak{a}_2$ are ideals of A , then $\mathfrak{a}_1 \cup \mathfrak{a}_2$ isn't ideal in general.

Proposition 1.6.3

1. Let $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ be prime ideals, $\mathfrak{a}$ be an ideal and $\mathfrak{a} \subset \cup_{i=1}^n \mathfrak{p}_i$, then $\mathfrak{a} \subset \mathfrak{p}_i$ for some i
2. Let $\mathfrak{a}_1, \dots, \mathfrak{a}_n$ be ideals, $\mathfrak{p}$ be prime ideal and $\mathfrak{p} \supset \cap_{i=1}^n \mathfrak{a}_i$, then $\mathfrak{p} \supset \mathfrak{a}_i$ for some i

6. Quotient:

$$\begin{aligned} (\mathfrak{a} : \mathfrak{b}) &= \{x \in A, x\mathfrak{b} \subset \mathfrak{a}\}, \\ \text{Ann}(\mathfrak{b}) &= (0 : \mathfrak{b}) = \{x \in A : x\mathfrak{b} = 0\} \end{aligned} \quad (1.13)$$

Specially, if $\mathfrak{b} = (x)$ is principal, then $(\mathfrak{a} : \mathfrak{b}) = (\mathfrak{a} : x)$. Let D be the set of zero-divisors in A ; then $D = \cup_{x \neq 0} \text{Ann}(x)$.

7. Radical

$$r(\mathfrak{a}) = \{x \in A : \exists n > 0, \text{ s.t. } x^n \in \mathfrak{a}\}, \quad (1.14)$$

obviously, we can get $\mathfrak{a} \subset r(\mathfrak{a})$

Proposition 1.6.4 $r(\mathfrak{a}) = \cap \mathfrak{p}$, where $\mathfrak{p}$ runs over all prime ideals of A such that $\mathfrak{a} \subset \mathfrak{p}$.

Proof. Apply Proposition 1.5.3 to $A/\mathfrak{a}$. The prime ideals of $A/\mathfrak{a}$ correspond to prime ideals $\mathfrak{p}$ of A containing $\mathfrak{a}$, and the nilradical of $A/\mathfrak{a}$ is $r(\mathfrak{a})/\mathfrak{a}$. $\square$

Proposition 1.6.5 D is the set of zero-divisors of A , then $D = \cup_{x \neq 0} r(\text{Ann}(x))$.

Proof. $D = r(D) = r\left(\cup_{x \neq 0} \text{Ann}(x)\right) = \cup_{x \neq 0} r(\text{Ann}(x))$ $\square$

Proposition 1.6.6 Let $\mathfrak{a}, \mathfrak{b}$ be ideals in ring A , s.t. $r(\mathfrak{a}) + r(\mathfrak{b}) = (1) \Rightarrow \mathfrak{a} + \mathfrak{b} = (1)$

Proof. $r(\mathfrak{a} + \mathfrak{b}) = r(r(\mathfrak{a}) + r(\mathfrak{b})) = r(1) \Rightarrow \mathfrak{a} + \mathfrak{b} = (1)$ $\square$

8. Extension and contraction

Let $f : A \rightarrow B$ is a homomorphism, $\mathfrak{a} \subset A, \mathfrak{b} \subset B$ is ideals then

$$\mathfrak{b}^c = f^{-1}(\mathfrak{b}) = \{a \in A : f(a) \in \mathfrak{b}\} \quad (1.15)$$

is a ideal of A , called contraction, but

$$f(\mathfrak{a}) = \{b \in B : \exists a \in \mathfrak{a}, \text{ s.t. } f(a) = b\} \quad (1.16)$$

is not ideal of B in general. We let $\mathfrak{a}^e = \langle f(\mathfrak{a}) \rangle$ is the ideal of B , called extension.

Proposition 1.6.7 Let $f : A \rightarrow B$ is a homomorphism, $\mathfrak{a} \subset A, \mathfrak{b} \subset B$ is ideals then

1. $\mathfrak{a} \subset \mathfrak{a}^{ec}, \mathfrak{b} \supset \mathfrak{b}^{ce}$
2. $\mathfrak{a}^e = \mathfrak{a}^{ece}, \mathfrak{b}^c = \mathfrak{b}^{cec}$
3. If C is the set of contracted ideals in A and E is the set of extended ideals in B , then

$$C = \{\mathfrak{a} : \mathfrak{a}^{ec} = \mathfrak{a}\}, E = \{\mathfrak{b} : \mathfrak{b}^{ce} = \mathfrak{b}\} \quad (1.17)$$

and $\varphi : \mathfrak{a} \rightarrow \mathfrak{a}^e$ is a bijection, where inverse map is $\varphi^{-1} : \mathfrak{b} \rightarrow \mathfrak{b}^c$

2. Modules

2.1. Modules and module homomorphisms

Definition 2.1.1 (Module) Let A be a ring, M be an abelian group. M is a A -module if exist map

$$\varphi : A \times M \rightarrow M \quad (2.1)$$

s.t $\forall a, b \in A, m_1, m_2 \in M$

1. $\varphi(a, m_1 + m_2) = \varphi(a, m_1) + \varphi(a, m_2)$
2. $\varphi(a + b, m) = \varphi(a, m) + \varphi(b, m)$
3. $\varphi(ab, m) = \varphi(a, \varphi(b, m))$
4. $\varphi(1_A, m) = m$

Remark

For express, we write $\varphi(a, m) = a \cdot m$, where $a \in A, m \in M$.

Definition 2.1.2 (Homomorphism) Let M, N be A -modules. $f : M \rightarrow N$ is a homomorphism if

$$f(m_1 + m_2) = f(m_1) + f(m_2), \quad f(am) = af(m), \forall a \in A, m, m_1, m_2 \in M \quad (2.2)$$

Definition 2.1.3 (Hom) Let M, N be A -modules, set

$$\text{Hom}_A(M, N) = \{f : M \rightarrow N : f \text{ is a homomorphism}\} \quad (2.3)$$

Proposition 2.1.4 $\text{Hom}_A(M, N)$ is a A -module

Proof. $\forall f_1, f_2 \in \text{Hom}_A(M, N), x \in M, a \in A$, then

$$(f_1 + f_2)(x) = f_1(x) + f_2(x), \quad (af)(x) = af(x) \quad (2.4)$$

□

For any A -module M , there is a natural isomorphism $\text{Hom}_A(A, M) \cong M$.

2.2. Submodule and quotient module

Definition 2.2.1 (Submodule) A submodule M' of M if $M' \subset M$ is subgroup and M' is A -module.

Definition 2.2.2 (Quotient module) Let M' be a submodule of M , then

$$M/M' = \{m + M' : m \in M\} \quad (2.5)$$

is a quotient module.

Obviously, we get a natural surjective homomorphism $\pi : M \rightarrow M/M', \quad m \mapsto m + M'$.

Example. If $f : M \rightarrow N$ is an A -module homomorphism, then

$$\begin{aligned} \ker(f) &= \{x \in M : f(x) = 0\} \text{ is submodule of } M \\ \text{Im}(f) &= f(M) \text{ is submodule of } N \\ \text{Coker}(f) &= N/\text{Im}(f) \text{ is quotient module of } N \end{aligned} \quad (2.6)$$

If $M' \subset M$ is submodule, s.t. $M' \subset \ker(f)$, let

$$\bar{f} : M/M' \rightarrow N, \quad \bar{x} \mapsto \bar{f}(\bar{x}) = f(x) \quad (2.7)$$

we get $\ker(\bar{f}) = \ker(f)/M'$, if we set $M' = \ker(f)$, we can get $M/\ker(f) \cong \text{Im}(f)$.

2.3. Operations on submodule

1. Sum

$$\sum_{i \in I} M_i = \left\{ m = \sum x_i : x_i \in M_i, x_i = 0 \text{ except for finitely many } i \right\} \quad (2.8)$$

is a submodule.

2. Intersection

$$\cap_{i \in I} M_i = \{m : m \in M_i, \forall i \in I\} \quad (2.9)$$

is a submodule. Thus the submodules of M form a complete lattice with respect to inclusion.

Proposition 2.3.1

1. If $L \supset M \supset N$ are A -module, then $(L/N)/(M/N) \cong L/M$.
2. If M_1, M_2 are submodule of M . then $(M_1 + M_2)/M_1 \cong M_2/(M_1 \cap M_2)$

Proof.

1. set

$$f : L/N \rightarrow L/M, \quad f(l + N) = l + M \quad (2.10)$$

we can similarly proof f is well-define and $\ker(f) = M/N$. Hence

$$(L/N)/\ker(f) = \text{Im}(f) \Rightarrow (L/N)/(M/N) = L/M \quad (2.11)$$

2. set $g : M_2 \rightarrow (M_1 + M_2)/M_1$, like 1., g is surjective, and $\ker(g) = M_1 \cap M_2$.

□

3. Quotient: Let M_1, M_2 are submodule of M , then

$$(M_1 : M_2) = \{r \in A : rM_2 \subset M_1\} \quad (2.12)$$

is an ideal of A . In particular, $\text{Ann}(M) = (0 : M) = \{a \in A : aM = 0\}$ is called the annihilator of M .

If $\mathfrak{a} \subset \text{Ann}(M)$, we can regard M as a $A/\mathfrak{a}$ -module, then

$$f : A/\mathfrak{a} \times M \rightarrow M, \quad (\bar{a}, m) \mapsto am \quad (2.13)$$

where $\bar{a} = a + \mathfrak{a}$.

A -module is faithful if $\text{Ann}(M) = 0$. If $\text{Ann}(M) = \mathfrak{a}$, then M is faithful as $A/\mathfrak{a}$ -module.

4. Direct Sum: Set M_i is A -module, then $\bigoplus M_i$ is a A -module (and abelian group), $|I| < \infty$,

$$\begin{aligned} (x_1, \dots, x_n) + (y_1, \dots, y_n) &= (x_1 + y_1, \dots, x_n + y_n) \in \bigoplus M_i \\ a(x_1, \dots, x_n) &= (ax_1, \dots, ax_n) \in \bigoplus M_i \end{aligned} \quad (2.14)$$

where almost all $x_i = 0$ except finitely many i . If we drop the restriction on the number of non-zero components, we get the direct product $\prod M_i$.

Remark

In other words, the direct sum is the direct product of A -modules with the restriction that only finitely many components are non-zero. They are the same if $|I| < \infty$.

If set

$$\mathfrak{a} = \langle (0, \dots, 0, 1, 0, \dots, 0) \rangle = \{(0, \dots, 0, a_i, 0, \dots, 0) : a_i \in A_i\} \quad (2.15)$$

the ring A considered as A -module as: $A = \bigoplus_{i \in I} \mathfrak{a}_i$

Remark

Give a module decomposition $A = \bigoplus_{i=1}^n \mathfrak{a}_i$ of A as a direct sum of ideals, we have

$$A \cong \prod_{i=1}^n (A/\mathfrak{b}_i), \quad (2.16)$$

where $\mathfrak{b}_i = \bigoplus_{j \neq i} \mathfrak{a}_j$. Each ideal $\mathfrak{a}_i$ is a ring (isomorphic to $A/\mathfrak{b}_i$). The identity element $e_i \in \mathfrak{a}_i$ is an idempotent in A , and $\mathfrak{a}_i = (e_i)$.

Definition 2.3.2 (f.g. module) M is a finite generated A -module, if

$$x_1, \dots, x_n \in M, \text{ s.t. } M = \sum_{i=1}^n Ax_i \left(\text{i.e. } \forall m \in M, \exists a_i \in A, \text{ s.t. } m = \sum_{i=1}^n a_i x_i \right). \quad (2.17)$$

Definition 2.3.3 (f.g. free module) M is a f.g. free A -module if $M = \bigoplus_{i=1}^n A_i$, where each $A_i \cong A$ as an A -module.

Proposition 2.3.4 M is f.g. A -module $\Leftrightarrow M \cong A^n/K$, where K is a submodule of A^n and $n > 0$.

Proof. [$\Rightarrow$] Suppose $x_1, \dots, x_n$ is generators of M ,

$$\varphi : A^n \rightarrow M, \quad (a_1, \dots, a_n) \mapsto \sum_{i=1}^n a_i x_i \quad (2.18)$$

so φ is surjective, hence $M \cong A^n / \ker(\varphi)$

[$\Leftarrow$] Obviously. □

Definition 2.3.5 (endomorphism) Let M be a A -module, $\varphi : M \rightarrow M$ is an endomorphism of M if φ is a homomorphism.

$$\text{End}_A(M) = \{\varphi : \varphi \text{ is endomorphism of } M\}$$

Proposition 2.3.6 Let M be a f.g. A -module, $\mathfrak{a}$ is ideal of A , and $\varphi : M \rightarrow M$ be an A -module endomorphism, such that. $\varphi(M) \subset \mathfrak{a}M$, then φ is satisfied the following

$$\varphi^n + a_1 \varphi^{n-1} + \dots + a_n = 0, \quad a_i \in \mathfrak{a} \quad (2.19)$$

Corollary 2.3.6.1 Let M be f.g. A -module, and $\mathfrak{a}$ is ideal of A , s.t. $\mathfrak{a}M = M$, then $\exists x = 1 \bmod \mathfrak{a}$, s.t. $x \cdot M = 0$

Proof. Let $\varphi = \text{id}$, $x = 1 + a_1 + \dots + a_n \Rightarrow x \cdot M = 0$ by Proposition 2.3.6 □

Lemma 2.3.7 (Nakayama) Let M be f.g. A -module, $\mathfrak{a}$ is ideal of A and $\mathfrak{a} \subset \mathfrak{R}$. Then $\mathfrak{a}M = M \Rightarrow M = 0$.

Proof. By Corollary 2.3.6.1, we have $xM = 0 (\forall x = 1 \bmod \mathfrak{R})$, hence x is a unit of A , so $M = x^{-1}xM = 0$ □

Corollary 2.3.7.1 Let M be f.g. A -module, N is submodule of M , $\mathfrak{a} \subset \mathfrak{R}$ is ideal, then $M = \mathfrak{a}M + N \Rightarrow M = N$.

Proof. Apply Lemma 2.3.7 to M/N , we get

$$M/N = (\mathfrak{a}M + N)/N = \mathfrak{a}(M/N) \Rightarrow M = N \quad (2.20)$$

□

Proposition 2.3.8 A is local ring, $\mathfrak{m}$ is the maximal ideal of A , $A/\mathfrak{m}$ is the residue field of A .

M is f.g. A -module, $\mathfrak{m}M$ is submodule of M , $M/\mathfrak{m}M$ is $A/\mathfrak{m}$ -module. We have natural homomorphism

$$\varphi : M \rightarrow M/\mathfrak{m}M, \quad x \mapsto \varphi(x) = x + \mathfrak{m}M \quad (2.21)$$

Let $x_1, \dots, x_n \in M$, s.t. $\{\varphi(x_i)\}_{i=1}^n$ are basis of $M/\mathfrak{m}M$, then $x_1, \dots, x_n$ generator M .

Proof. Let N be the submodule of M generated by $\{x_1, \dots, x_n\}$, then

$$N \xrightarrow{\tau} M \xrightarrow{\varphi} M/\mathfrak{m}M, \quad x \mapsto x \mapsto x + \mathfrak{m}M \quad (2.22)$$

$\varphi \circ \tau$ is surjective homomorphism, so $N + \mathfrak{m}M \cong M \Rightarrow M \cong N$ by Corollary 2.3.7.1 □

2.4. Exact sequences

Definition 2.4.1 (Exact sequence) A sequence of A -modules and homomorphisms

$$\dots \rightarrow M_{i-1} \xrightarrow{f_i} M_i \xrightarrow{f_{i+1}} M_{i+1} \rightarrow \dots \quad (2.23)$$

is exact at M_i , if $\text{Im}(f_i) = \ker(f_{i+1})$. The sequence is exact if it is exact at every M_i . In particular,

$$\begin{aligned} 0 \rightarrow M' \xrightarrow{f} M \text{ is exact} &\Leftrightarrow f \text{ is injective} \\ M \xrightarrow{g} M'' \rightarrow 0 \text{ is exact} &\Leftrightarrow g \text{ is surjective} \end{aligned} \quad (2.24)$$

$$0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0 \text{ is exact} \Leftrightarrow f \text{ is injective, } g \text{ is surjective, } \wedge \text{Im}(f) = \ker(g)$$

and g induces a isomorphism of $\text{Coker}(f) = M/f(M')$ onto M''

A sequence of type Equation (2.24) is called short exact sequence. Any long exact sequence Equation (2.23) can be split up into short exact sequence, if $N_i = \text{Im}(f_i) = \ker(f_{i+1})$, we have short exact sequence

$$0 \rightarrow N_i \rightarrow M_i \rightarrow N_{i+1} \rightarrow 0, \quad \forall i \quad (2.25)$$

Proposition 2.4.2

1. Let a sequence of A -modules and homomorphisms,

$$M' \xrightarrow{u} M \xrightarrow{v} M'' \rightarrow 0 \quad (2.26)$$

then the sequence Equation (2.26) is exact $\Leftrightarrow \forall N$, the sequence

$$0 \rightarrow \text{Hom}(M'', N) \xrightarrow{\bar{v}} \text{Hom}(M, N) \xrightarrow{\bar{u}} \text{Hom}(M', N) \quad (2.27)$$

is exact.

2. Let a sequence of A -module and homo-

$$0 \rightarrow N' \xrightarrow{u} N \xrightarrow{v} N'' \quad (2.28)$$

then the sequence Equation (2.28) is exact $\Leftrightarrow \forall M$ the sequence

$$0 \rightarrow \text{Hom}(M, N') \xrightarrow{\bar{u}} \text{Hom}(M, N) \xrightarrow{\bar{v}} \text{Hom}(M, N'') \quad (2.29)$$

is exact.

Proposition 2.4.3 Let

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' & \longrightarrow & 0 \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' & & \\ 0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' & \longrightarrow & 0 \end{array}$$

be a commutative diagram of A -modules and homomorphisms, with the rows exact. Then there exists an exact sequence

$$0 \rightarrow \ker(f') \xrightarrow{\bar{u}} \ker(f) \xrightarrow{\bar{v}} \ker(f'') \xrightarrow{d} \text{Coker}(f') \xrightarrow{\bar{u}'} \text{Coker}(f) \xrightarrow{\bar{v}'} \text{Coker}(f'') \rightarrow 0 \quad (2.30)$$

in which $\bar{u}, \bar{v}$ are restrictions of u, v , and $\bar{u}', \bar{v}'$ are induced by u', v' .

Proof. Define the connecting homomorphism $d : \ker(f'') \rightarrow \text{Coker}(f')$. For $x'' \in \ker(f'')$, choose $x \in M$ with $v(x) = x''$. Then

$$v'(f(x)) = f''(v(x)) = f''(x'') = 0, \quad (2.31)$$

so $f(x) \in \ker(v') = \text{Im}(u')$. Since u' is injective, there is a unique $y' \in N'$ such that $u'(y') = f(x)$. Set

$$d(x'') = y' + \text{Im}(f') \in \text{Coker}(f'). \quad (2.32)$$

This is well-defined: if x is replaced by $x + u(m')$, then y' is replaced by $y' + f'(m')$, which gives the same class in $\text{Coker}(f')$.

Exactness at $\ker(f')$ and $\ker(f)$ follows by restricting the exact top row. For exactness at $\ker(f'')$, if $x'' = v(x)$ with $f(x) = 0$, then $d(x'') = 0$. Conversely, if $d(x'') = 0$, choose x, y'

as above and write $y' = f'(m')$. Then $f(x - u(m')) = 0$ and $v(x - u(m')) = x''$, so $x'' \in \text{Im}(\bar{v})$.

For exactness at $\text{Coker}(f')$, the image of d is contained in $\ker(\bar{u}')$ by construction. Conversely, if $y' + \text{Im}(f') \in \ker(\bar{u}')$, then $u'(y') = f(x)$ for some $x \in M$. Thus $f''(v(x)) = v'(f(x)) = v'u'(y') = 0$, so $v(x) \in \ker(f'')$ and $d(v(x)) = y' + \text{Im}(f')$. Exactness at $\text{Coker}(f)$ and $\text{Coker}(f'')$ follows from exactness of the bottom row. $\square$

Remark

Proposition 2.4.3 is a special case of the exact homology sequence of homological algebra.

Definition 2.4.4 Let C be a class of A -modules and λ be a function on C , the function λ is additive, if

$$\forall \text{ exact sequence } 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0 \Rightarrow \lambda(M') - \lambda(M) + \lambda(M'') = 0 \quad (2.33)$$

Example. Let A be a field over $\mathbb{K}$, C be the class of all finite-dim $\mathbb{K}$ -vector space, the $V \rightarrow \dim(V)$ is an additive function on C .

Proposition 2.4.5 Let $0 \rightarrow M_0 \rightarrow M_1 \rightarrow \dots \rightarrow M_m \rightarrow 0$ be an exact sequence of A -modules, in which all the modules M_i and the kernels of all the homomorphisms belong to C . Then for any additive function λ on C , we have

$$\sum_{i=0}^m (-1)^i \lambda(M_i) = 0 \quad (2.34)$$

Proof. Split the sequence into short exact sequences

$$0 \rightarrow N_i \rightarrow M_i \rightarrow N_{i+1} \rightarrow 0, \quad \text{where } (N_0 = N_{n+1} = 0) \quad (2.35)$$

then we get $\lambda(M_i) = \lambda(N_i) + \lambda(N_{i+1})$. Now take the alternative sum of the $\lambda(M_i)$. $\square$

2.5. Tensor product of modules

Definition 2.5.1 (Tensor product) We construct an A -module T , called the tensor product of M and N , with the property that for every A -module P , the A -bilinear maps $M \times N \rightarrow P$ are in natural one-to-one correspondence with the A -linear maps $T \rightarrow P$.

Proposition 2.5.2 Let M, N, T be A -module, then there exist a pair (T, g) , where $g : M \times N \rightarrow T$ is bilinear map, with the following properties

$$\begin{aligned} \forall \text{ A-module } P, f : M \times N \rightarrow P \text{ is bilinear map} \\ \exists ! f' : T \rightarrow P \text{ is linear map, s.t. } f = f' \circ g. \end{aligned} \quad (2.36)$$

Moreover, if $(T, g), (T', g')$ are two pair with this property, then $\exists !$ isomorphism

$$\tau : T \rightarrow T' \text{ s.t. } \tau \circ g = g' \quad (2.37)$$

Corollary 2.5.2.1 Let $x_i \in M, y_i \in N$, s.t. $\sum x_i \otimes y_i = 0 \in M \otimes N$, then exist f.g. submodule M_0 of M, N_0 of N , s.t. $\sum x_i \otimes y_i = 0 \in M_0 \otimes N_0$

Proof. Let C denote the free A-module $A^{M \times N}$, and let D be the submodule generated by the usual bilinearity relations. If

$$\sum x_i \otimes y_i = 0 \Rightarrow \sum x_i \otimes y_i \in D \Rightarrow \sum x_i \otimes y_i \text{ is finite sum of generator of } D \quad (2.38)$$

The displayed relation uses only finitely many generators of D , hence only finitely many elements of M and N . Let M_0 and N_0 be the submodules generated by those elements. Then $\sum x_i \otimes y_i = 0$ already in $M_0 \otimes N_0$. $\square$

In particular, if M, N are f.g. A-module, so is $M \otimes N$.

Proposition 2.5.3 Let M, N, P be A-module, then exists unique isomorphism, s.t.

$$M \otimes N \rightarrow N \otimes M, \quad x \otimes y \mapsto y \otimes x \quad (2.39)$$

$$\begin{aligned} (M \otimes N) \otimes P &\rightarrow M \otimes (N \otimes P) \rightarrow M \otimes N \otimes P \\ (x \otimes y) \otimes z &\mapsto x \otimes (y \otimes z) \mapsto x \otimes y \otimes z \end{aligned} \quad (2.40)$$

$$(M \oplus N) \otimes P \rightarrow (M \otimes P) \oplus (N \otimes P), \quad (x, y) \otimes z \mapsto (x \otimes z, y \otimes z) \quad (2.41)$$

$$A \otimes M \rightarrow M, \quad a \otimes x \mapsto ax \quad (2.42)$$

2.6. Restriction and extension of scalars

Definition 2.6.1 Let $f : A \rightarrow B$ be a ring homomorphism and let N be a B-module. Then N has an A-module structure defined as

$$\forall a \in A, x \in N, \quad (a, x) \mapsto f(a) \cdot x \quad (2.43)$$

This A-module is said to be obtained from N by restriction of scalars. In particular, f defines in this way an A-module structure on B .

Proposition 2.6.2 Suppose N is f.g. B -module and B is f.g. A -module, then N is f.g. as an A -module.

Proof. Let $y_1, \dots, y_n$ generate N over B and $x_1, \dots, x_n$ generate B as an A -module, then the product $x_i y_j$ generate N over A . $\square$

Now let M be an A -module. Since, as we have just seen, B can be regarded as an A -module, we can form the A -module $M_B = B \otimes_A M$. In fact M_B carries a B -module structure s.t.

$$b(b' \otimes x) = bb' \otimes x, \quad \forall b, b' \in B, x \in M. \quad (2.44)$$

The B -module M_B is said to be obtained from M by extension of scalars.

Proposition 2.6.3 If M is f.g. as an A -module, then M_B is f.g. B -module.

Proof. If $x_1, \dots, x_m$ generate M over A , then $\forall x \in M, \exists a_i \in A$, s.t. $x = \sum_{i=1}^m a_i x_i$, then

$$b \otimes x = b \otimes \left(\sum a_i x_i \right) = \sum b \otimes a_i x_i = \sum (ba_i) \otimes x_i = \sum ba_i (1 \otimes x_i) \in M_B \quad (2.45)$$

where $b \in B, 1 \otimes x_i \in B$. Hence we get $1 \otimes x_i$ generate M_B over B . $\square$

2.7. Exactness properties of the tensor product

Proposition 2.7.1

$$\text{Hom}_A(M \otimes_A N, P) \cong \text{Hom}_A(M, \text{Hom}_A(N, P)) \quad (2.46)$$

Proof.

Let $f : M \times N \rightarrow P$ be an A -bilinear map. For each $x \in M$, the map $y \mapsto f(x, y)$ is A -linear from N to P , and the assignment $x \mapsto (y \mapsto f(x, y))$ is A -linear from M to $\text{Hom}_A(N, P)$. Conversely, every A -linear map $\varphi : M \rightarrow \text{Hom}_A(N, P)$ defines an A -bilinear map $(x, y) \mapsto \varphi(x)(y)$.

Thus the set of A -bilinear maps $M \times N \rightarrow P$ is naturally in one-to-one correspondence with $\text{Hom}_A(M, \text{Hom}_A(N, P))$. By the universal property of the tensor product, it is also naturally in one-to-one correspondence with $\text{Hom}_A(M \otimes_A N, P)$. $\square$

Proposition 2.7.2 let

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0 \quad (2.47)$$

be an exact sequence of A -modules and homomorphisms, let N be any A -module. Then the sequence

$$M' \otimes N \xrightarrow{f \otimes \text{id}} M \otimes N \xrightarrow{g \otimes \text{id}} M'' \otimes N \rightarrow 0 \quad (2.48)$$

is exact.

Proof. Let E denote Equation (2.47), let $E \otimes N$ denote Equation (2.48), and let P be any A -module. Then $\text{Hom}_A(N, P)$ is an A -module.

Since E is exact, $\text{Hom}(E, \text{Hom}_A(N, P))$ is exact by Proposition 2.4.2. Hence $\text{Hom}(E \otimes N, P)$ is exact by Proposition 2.7.1. By Proposition 2.4.2 again, it follows that $E \otimes N$ is exact. $\square$

Proposition 2.7.3 The following is equivalent for an A -module N .

1. N is flat
2. If $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ is exact, the tensor sequence

$$0 \rightarrow M' \otimes N \rightarrow M \otimes N \rightarrow M'' \otimes N \rightarrow 0 \text{ is exact.} \quad (2.49)$$

3. If $f : M' \rightarrow M$ is injective $\Rightarrow f \otimes \text{id} : M' \otimes N \rightarrow M \otimes N$ is injective.
4. If $f : M' \rightarrow M$ is injective, and M, M' is f.g., then $f \otimes \text{id} : M' \otimes N \rightarrow M \otimes N$ is injective.

2.8. Algebras

Definition 2.8.1 Let $f : A \rightarrow B$ be a ring homomorphism. For $a \in A, b \in B$, define $ab = f(a)b$.

Then this makes B into an A -module compatible with its ring structure. The ring B is said to be an A -algebra.

Remark

1. In particular, if $A = \mathbb{K}$ is a field and $B \neq 0$, then $f : \mathbb{K} \rightarrow B$ is injective and therefore $\mathbb{K}$ can be canonically identified with its image in B . Thus a $\mathbb{K}$ -algebra is effectively a ring containing $\mathbb{K}$ as a subring.
2. Let A be any ring. Since A has an identity element, there is a unique homomorphism from $\mathbb{Z}$ into A , $n \mapsto n \cdot 1$. Thus every ring is automatically a $\mathbb{Z}$ -algebra.

2.9. Tensor product of algebras

Definition 2.9.1 Let B, C be two A -algebra, $f : A \rightarrow B, g : A \rightarrow C$ are homo-. Since B, C are A -module, so $D = B \otimes_A C$ is an A -module. We shall define a multiplication on D . Consider the map

$$B \times C \times B \times C \rightarrow D, \quad (b, c, b', c') \mapsto bb' \otimes cc' \quad (2.50)$$

This is A -linear in each factor, so by Proposition 2.7.1, which induce an A -module homo-

$$B \otimes C \otimes B \otimes C \rightarrow D \quad (2.51)$$

hence by Proposition 2.5.3, an A -module homomorphism $D \otimes D \rightarrow D$, and this in turn by Proposition 2.5.2 corresponds to an A -bilinear map

$$\mu : D \times D \rightarrow D \quad (2.52)$$

where is

$$\mu(b \otimes c, b' \otimes c') = bb' \otimes cc' \Rightarrow \mu\left(\sum_i (b_i \otimes c_i), \sum_j (b'_j \otimes c'_j)\right) = \sum_{i,j} (b_i b'_j \otimes c_i c'_j) \quad (2.53)$$

3. Rings and Modules of fractions

Let A is a ring, $S \subset A$, s.t. $1 \in S$, and $\forall a, b \in S, ab \in S$, then

$$\begin{aligned} A \times S : (a_1, s_1) \sim (a_2, s_2) &\Leftrightarrow \exists t \in S, \text{ s.t. } t(a_1 s_2 - a_2 s_1) = 0. \\ A \times S / \sim &= \left\{ \frac{a}{s} : a \in A, s \in S \right\} = S^{-1}A \end{aligned} \quad (3.1)$$

we put a ring structure on $S^{-1}A$ defined by

$$\begin{aligned} S^{-1}A \times S^{-1}A &\rightarrow S^{-1}A \\ \frac{a_1}{s_1} + \frac{a_2}{s_2} &= \frac{a_1 s_2 + a_2 s_1}{s_1 s_2}, \quad \frac{a_1}{s_1} \cdot \frac{a_2}{s_2} = \frac{a_1 a_2}{s_1 s_2} \end{aligned} \quad (3.2)$$

we also have a ring homomorphism $f : A \rightarrow S^{-1}A$, $f(x) = \frac{x}{1}$. This is not injective in general.

Remark

If A is an integral domain and $S = A - \{0\}$, then $S^{-1}A$ is the field of fractions of A .

Definition 3.1 (Ring of fraction) The ring $S^{-1}A$ is called the ring of fractions of A with respect S .

It has an universal property.

Proposition 3.2 (Universal Property) Let $g : A \rightarrow B$ be a ring homo-, s.t. $\forall s \in S, g(s)$ is a unit in B , Then

$$\exists! h : S^{-1}A \rightarrow B, \text{ s.t. } g = h \circ f. \quad (3.3)$$

Proof. [Uniqueness] Let h satisfies the conditions, then $h(\frac{a}{1}) = h \circ f(a) = g(a) (\forall a \in A)$, then if $s \in S$, we get

$$\begin{aligned} h(1/s) &= h((s/1)^{-1}) = [h(s/1)]^{-1} = [g(s)]^{-1} \\ \Rightarrow h(a/s) &= h(a/1)h(1/s) = g(a)g(s)^{-1} \end{aligned} \quad (3.4)$$

$\Rightarrow h$ is uniquely determined by g .

[Existence] Let $h(a/s) = g(a)[g(s)]^{-1} \Rightarrow h$ is a ring homomorphism. Suppose $\frac{a}{s} = \frac{a'}{s'}$, then

$$\exists t \in S, \text{ s.t. } (as' - a's)t = 0 \Rightarrow (g(a)g(s') - g(a')g(s))g(t) = 0 \quad (3.5)$$

Since $g(t)$ is a unit, so $g(a)[g(s)]^{-1} = g(a')[g(s')]^{-1}$. □

Proposition 3.3 The ring $S^{-1}A$ and homo- $f : A \rightarrow S^{-1}A$ have the follow properties.

1. $s \in S \Rightarrow f(s)$ is a unit in $S^{-1}A$

2. $f(a) = 0 \Rightarrow as = 0$ for some $s \in S$
3. Every element of $S^{-1}A$ is the form $f(a)f(s)^{-1}$ where $a \in A, s \in S$.

Conversely, these three properties determine $S^{-1}A$ up to isomorphism.

Corollary 3.3.1 If $g : A \rightarrow B$ is ring homo-, s.t.

1. $s \in S \Rightarrow g(s)$ is a unit in B
2. $g(a) = 0 \Rightarrow as = 0$ for some $s \in S$
3. Every element of B is the form $g(a)g(s)^{-1}$

Then there is a unique isomorphism $h : S^{-1}A \rightarrow B, h(a/s) = g(a)g(s)^{-1}$

Proof. By Proposition 3.3, we have to show that

$$h : S^{-1}A \rightarrow B, h(a/s) = g(a)g(s)^{-1} \quad (3.6)$$

is isomorphism, By (3), we get h is surjective, Now, just to prove h is injective.

$$h(a/s) = 0 \Rightarrow g(a) = 0 \Rightarrow ta = 0 \text{ for some } t \in S \Rightarrow \frac{a}{s} = 0 \in S^{-1}A \quad (3.7)$$

Hence h is injective, so h is isomorphism. $\square$

Proposition 3.4 The operation S^{-1} is exact, i.e.

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \text{ exact} \Rightarrow S^{-1}M' \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M'' \text{ exact} \quad (3.8)$$

Proof. Only need to prove $\text{Im}(S^{-1}f) = \ker(S^{-1}g)$,

[$\subset$]

$$M' \xrightarrow{f} M \xrightarrow{g} M'' \text{ exact} \Rightarrow g \circ f = 0 \Rightarrow S^{-1}g \circ S^{-1}f = S^{-1}(0) = 0 \quad (3.9)$$

$$\Rightarrow \text{Im}(S^{-1}f) \subset \ker(S^{-1}g)$$

$$[\supset] \text{ Let } m/s \in \ker(S^{-1}g) \Rightarrow \frac{g(m)}{s} = 0 \in S^{-1}M \Rightarrow \exists t \in S, \text{ s.t. } t \cdot g(m) = 0 \in M''$$

But $tg(m) = g(tm)$ since g is an A -module homomorphism, so $tm \in \ker(g) = \text{Im}(f)$, hence $tm = f(m')$ for some $m' \in M'$.

$$\text{We have } \frac{m}{s} = t \frac{m}{ts} = \frac{f(m')}{ts} \in \text{Im}(S^{-1}f) \Rightarrow \ker(S^{-1}g) \subset \text{Im}(S^{-1}f) \quad \square$$

Corollary 3.4.1 If N, P are submodule of M , M is A -module, then

1. $S^{-1}(N + P) = S^{-1}N + S^{-1}P$
2. $S^{-1}(N \cap P) = S^{-1}N \cap S^{-1}P$
3. the $S^{-1}A$ -module $S^{-1}(M/N)$ and $S^{-1}M/S^{-1}N$ are isomorphism.

Proof. Just prove (3). Apply S^{-1} to the exact sequence $0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0$ □

Proposition 3.5 Let M be an A -module. Then the $S^{-1}A$ -modules $S^{-1}M$ and $S^{-1}A \otimes_A M$ are isomorphic; more precisely, there exists a unique $f : S^{-1}A \otimes_A M \rightarrow S^{-1}M$ such that

$$f\left(\frac{a}{s} \otimes m\right) = \frac{am}{s}, \quad \forall a \in A, m \in M, s \in S \quad (3.10)$$

Proof. Let bilinear mapping

$$\varphi : S^{-1}A \times M \rightarrow S^{-1}M, \quad \left(\frac{a}{s}, m\right) \mapsto \frac{am}{s} \quad (3.11)$$

therefore by the universal property of tensor products, φ induces an A -homomorphism

$$f : S^{-1}A \otimes_A M \rightarrow S^{-1}M, \quad f\left(\frac{a}{s} \otimes m\right) = \frac{am}{s} \quad (3.12)$$

Clearly, f is surjective, and it's uniquely defined by Equation (3.11). Now to prove f is injective.

Let $\sum_i \frac{a_i}{s_i} \otimes m_i \in S^{-1}A \otimes M$. If $s = \prod_i s_i \in S$ and $t_i = \prod_{j \neq i} s_j$, we have

$$\sum_i \frac{a_i}{s_i} \otimes m_i = \sum_i \frac{a_i t_i}{s} \otimes m_i = \sum_i \frac{1}{s} \otimes a_i t_i m_i = \frac{1}{s} \otimes \sum_i a_i t_i m_i, \quad (3.13)$$

so that every element of $S^{-1}A \otimes M$ is of the form $\left(\frac{1}{s}\right) \otimes m$.

$$f\left(\left(\frac{1}{s}\right) \otimes m\right) = 0 \Rightarrow \frac{m}{s} = 0 \Rightarrow tm = 0 \quad (3.14)$$

for some $t \in S$, and therefore

$$\frac{1}{s} \otimes m = \frac{t}{st} \otimes m = \frac{1}{st} \otimes tm = \frac{1}{st} \otimes 0 = 0. \quad (3.15)$$

Hence f is injective. □

Corollary 3.5.1 $S^{-1}A$ is flat A -module.

Proof. Just because Proposition 3.4 and Proposition 3.5 and

$$\forall M' \rightarrow M \rightarrow M'' \text{ exact} \Rightarrow S^{-1}A \otimes M' \rightarrow S^{-1}A \otimes M \rightarrow S^{-1}A \otimes M'' \text{ exact} \quad (3.16)$$

□

Proposition 3.6 If M, N are A -module, $\exists ! S^{-1}A$ -module f is isomorphism

$$f : S^{-1}M \otimes_{S^{-1}A} S^{-1}N \rightarrow S^{-1}(M \otimes_A N), \quad f\left(\left(\frac{m}{s}\right) \otimes \left(\frac{n}{t}\right)\right) = \frac{m \otimes n}{st} \quad (3.17)$$

In particular, if $\mathfrak{p}$ is any prime ideal, then $M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}} \cong (M \otimes_A N)_{\mathfrak{p}}$ as $A_{\mathfrak{p}}$ -modules.

Proof. by Proposition 3.5, we have $S^{-1}A \otimes M \cong S^{-1}M$, so

$$S^{-1}M \otimes_{S^{-1}A} S^{-1}N \cong S^{-1}(M \otimes_A N) \quad (3.18)$$

Hence we have an isomorphism

$$f : S^{-1}M \otimes_{S^{-1}A} S^{-1}N \rightarrow S^{-1}(M \otimes_A N), \quad f\left(\left(\frac{m}{s}\right) \otimes \left(\frac{n}{t}\right)\right) = \frac{m \otimes n}{st} \quad (3.19)$$

□

3.1. Local properties

Definition 3.1.1 (Local properties) A property P of a ring A (or A -module M) is said to be a local property if the following is true A (or M) has $P \Leftrightarrow A_{\mathfrak{p}}$ has $P, \forall \mathfrak{p}$ is prime ideal of A .

The following propositions give example of local properties.

Proposition 3.1.2 Let M is an A -module, then the following are equivalent

1. $M = 0$
2. $M_{\mathfrak{p}} = 0$ for all prime ideal $\mathfrak{p}$ of A
3. $M_{\mathfrak{m}} = 0$ for all maximal ideal $\mathfrak{m}$ of A

Proof. $1 \Rightarrow 2 \Rightarrow 3$ is obviously, now to prove $3 \Rightarrow 1$.

Suppose 3 satisfied and $M \neq 0$, let $x \in M, x \neq 0$ and $\mathfrak{a} = \text{Ann}(x) \Rightarrow \mathfrak{a} \neq (1)$ is ideal $\Rightarrow a \in \mathfrak{m}$, consider $\frac{x}{1} \in M_{\mathfrak{m}} \Rightarrow \frac{x}{1} = 0 \Rightarrow x$ is killed by some elements of $A - \mathfrak{m}$, but this is impossible, so $M = 0$ □

Proposition 3.1.3 Let $\varphi : M \rightarrow N$ be an A -homo, then the following are equivalent

1. φ is injective
2. $\varphi_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$ is injective for each prime ideal $(\mathfrak{p})$
3. $\varphi_{\mathfrak{m}} : M_{\mathfrak{m}} \rightarrow N_{\mathfrak{m}}$ is injective for each maximal ideal $(\mathfrak{m})$

Similarly, with injective replaced by surjective.

Proof. (1) $\Rightarrow$ (2) $0 \rightarrow M \rightarrow N$ is exact $\Rightarrow 0 \rightarrow M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$ is exact, hence $\varphi_{\mathfrak{p}}$ is injective.

(2) $\Rightarrow$ (3) Obviously

(3) $\Rightarrow$ (1) Let $M' = \ker(\varphi) \Rightarrow 0 \rightarrow M' \rightarrow M \rightarrow N$ is exact, so $0 \rightarrow M'_{\mathfrak{m}} \rightarrow M_{\mathfrak{m}} \rightarrow N_{\mathfrak{m}}$ is exact by Proposition 3.4, and $M'_{\mathfrak{m}} \cong \ker(\varphi_{\mathfrak{m}}) = 0$. Since $\varphi_{\mathfrak{m}}$ is injective, $M'_{\mathfrak{m}} = 0$ for every maximal ideal $\mathfrak{m}$. Hence $M' = 0$ by Proposition 3.1.2, so φ is injective. □

Flatness is a local property.

Proposition 3.1.4 $\forall$ A -module M the following are equivalent

1. M is flat A -module
2. $M_{\mathfrak{p}}$ is flat $A_{\mathfrak{p}}$ -module for prime ideal $\mathfrak{p}$
3. $M_{\mathfrak{m}}$ is flat $A_{\mathfrak{m}}$ -module for maximal ideal $\mathfrak{m}$

3.2. Extended and Contracted ideals in ring of fractions

Definition 3.2.1 Let A is a ring, S is a multiplicative closed subset of A ,

$$\begin{aligned} f : A &\rightarrow S^{-1}A, \quad a \mapsto \frac{a}{1} \text{ is a natural homo} \\ C &:= \{\mathfrak{a} \subset A : \exists \mathfrak{b} \subset S^{-1}A \text{ is an ideal, s.t. } f^{-1}(\mathfrak{b}) = \mathfrak{a}\} \\ E &:= \{\mathfrak{b} \subset S^{-1}A : \exists \mathfrak{a} \subset A \text{ is an ideal, s.t. } \mathfrak{b} = S^{-1}f(\mathfrak{a})\} \end{aligned} \quad (3.20)$$

Example. If $\mathfrak{a} \subset A$ is an ideal and $\mathfrak{a} \cap S \neq \emptyset$, then $S^{-1}\mathfrak{a} = S^{-1}A$.

Proposition 3.2.2

1. Every ideal in $S^{-1}A$ is an extended ideal.
2. If $\mathfrak{a} \subset A$ is an ideal, then $\mathfrak{a}^{ec} = \bigcup_{s \in S} (\mathfrak{a} : s)$. Hence $\mathfrak{a}^e = (1) \Leftrightarrow \mathfrak{a} \cap S \neq \emptyset$.
3. The prime ideals of $S^{-1}A$ are in one-to-one correspondence with the prime ideals of A which do not meet S .

$$\overline{P}_c = \{\overline{\mathfrak{p}} \in S^{-1}A\} \xrightarrow{1-1} P = \{\mathfrak{p} \subset A \wedge \mathfrak{p} \cap S = \emptyset\} \quad (3.21)$$

4. The operation S^{-1} commutes with finite sums, products, intersections, and radicals of ideals.

Proof. (1) Let $\mathfrak{b}$ be an ideal of $S^{-1}A$ and let $\mathfrak{a} = \mathfrak{b}^c$. If $\frac{a}{s} \in \mathfrak{b}$, then $\frac{a}{1} = \left(\frac{s}{1}\right)\left(\frac{a}{s}\right) \in \mathfrak{b}$, so $a \in \mathfrak{a}$ and $\frac{a}{s} \in \mathfrak{a}^e$. Thus $\mathfrak{b} \subset \mathfrak{a}^e$, and the reverse inclusion is immediate.

(2) For $x \in A$,

$$x \in \mathfrak{a}^{ec} \Leftrightarrow \frac{x}{1} \in S^{-1}\mathfrak{a} \Leftrightarrow \exists s \in S, \text{ s.t. } sx \in \mathfrak{a} \Leftrightarrow x \in \bigcup_{s \in S} (\mathfrak{a} : s). \quad (3.22)$$

Also $\mathfrak{a}^e = (1)$ iff $1 \in S^{-1}\mathfrak{a}$ iff $\exists s \in S$ such that $s \in \mathfrak{a}$.

(3) If $\mathfrak{p}$ is prime and $\mathfrak{p} \cap S = \emptyset$, then $S^{-1}\mathfrak{p}$ is prime in $S^{-1}A$, and its contraction is $\mathfrak{p}$ by (2). Conversely, if $\mathfrak{q}$ is prime in $S^{-1}A$, then $\mathfrak{q}^c$ is prime in A and is disjoint from S , because every $\frac{s}{1}$ is a unit in $S^{-1}A$. By (1), extension and contraction are inverse on these prime ideals.

(4) Sums and products follow directly from the definitions. Intersections follow from exactness of localization applied to $0 \rightarrow \mathfrak{a} \cap \mathfrak{b} \rightarrow \mathfrak{a} \oplus \mathfrak{b} \rightarrow \mathfrak{a} + \mathfrak{b} \rightarrow 0$, where the middle map is $(x, y) \mapsto x - y$. For radicals, $\frac{x}{s} \in r(S^{-1}\mathfrak{a})$ iff $\left(\frac{x}{s}\right)^n \in S^{-1}\mathfrak{a}$ for some $n > 0$, iff $\frac{x^n}{t} \in S^{-1}\mathfrak{a}$ for some

$t \in S$, iff $ux^n \in \mathfrak{a}$ for some $u \in S$, which is equivalent to $ux \in r(\mathfrak{a})$ for some $u \in S$. Hence $r(S^{-1}\mathfrak{a}) = S^{-1}r(\mathfrak{a})$. $\square$

 Remark

If $\mathfrak{a}, \mathfrak{b}$ are ideal of A , the formula $S^{-1}(\mathfrak{a} : \mathfrak{b}) = (S^{-1}\mathfrak{a} : S^{-1}\mathfrak{b})$ is true provided $\mathfrak{b}$ is f.g.

Corollary 3.2.2.1 If $\mathfrak{N}$ is the nilradical of A , then $S^{-1}\mathfrak{N}$ is the nilradical of $S^{-1}A$.

Corollary 3.2.2.2 If $\mathfrak{p}$ is a prime ideal of A , the prime ideals of the local ring $A_{\mathfrak{p}}$ are in one-to-one correspondence with prime ideals of A contained in $\mathfrak{p}$, i.e.

$$\overline{P}_c = \{\overline{\mathfrak{p}} \in A_{\mathfrak{p}}\} \xrightarrow{1-1} P = \{\mathfrak{p}' \subset A \text{ is prime and } \mathfrak{p}' \subset \mathfrak{p}\} \quad (3.23)$$

Proof. Let $S = A - \mathfrak{p}$ in Proposition 3.2.2 (3) $\square$

Proposition 3.2.3 Let M be a f.g. A -module and let S be a multiplicatively closed subset of A . Then $S^{-1}(\text{Ann}(M)) = \text{Ann}(S^{-1}M)$.

Proof. If it is true for submodules M, N , then it is true for $M + N$ because

$$\begin{aligned} S^{-1}(\text{Ann}(M + N)) &= S^{-1}(\text{Ann}(M) \cap \text{Ann}(N)) = S^{-1}(\text{Ann}(M)) \cap S^{-1}(\text{Ann}(N)) \\ &= \text{Ann}(S^{-1}M) \cap \text{Ann}(S^{-1}N) = \text{Ann}(S^{-1}(M + N)) \end{aligned} \quad (3.24)$$

Hence it is enough to prove the result for a cyclic module $M = (x)$. Then $M \cong A/\mathfrak{a}$ where $\mathfrak{a} = \text{Ann}(x)$, and

$$S^{-1}M = S^{-1}A/S^{-1}\mathfrak{a} \Rightarrow \text{Ann}(S^{-1}M) = S^{-1}\mathfrak{a} = S^{-1}(\text{Ann}(M)) \quad (3.25)$$

$\square$

Corollary 3.2.3.1 If N, P are submodule of A -module, P is f.g., then $S^{-1}(N : P) = (S^{-1}N : S^{-1}P)$

Proof. $(N : P) = \text{Ann}((N + P)/N)$ by Proposition 3.2.3 $\square$

Proposition 3.2.4 Let $f : A \rightarrow B$ be a homo $\mathfrak{p} \subset A, \mathfrak{q} \subset B$ be prime ideal, then

$$\mathfrak{p} = \mathfrak{q}^c \Leftrightarrow \mathfrak{p}^{ec} = \mathfrak{p} \quad (3.26)$$

Proof. $[\Rightarrow]$ by prop:1.17

[$\Leftarrow$] If $\mathfrak{p}^{ec} = \mathfrak{p}$, let $S = f(A - \mathfrak{p}) \subset B \Rightarrow \mathfrak{p}^e \cap A = \emptyset \Rightarrow \mathfrak{p}^{ec}$ is a proper ideal in $S^{-1}B$ by Proposition 3.2.2 and $\mathfrak{p}^{ec} = \mathfrak{m}$, where $\mathfrak{m}$ is maximal of $S^{-1}B$. If $\mathfrak{q} = \mathfrak{m}^c \Rightarrow \mathfrak{q}$ is prime, because $\mathfrak{q} \supset \mathfrak{p}^e, \mathfrak{q} \cap S = \emptyset \Rightarrow \mathfrak{q}^c = \mathfrak{p}$. $\square$

4. Primary Decomposition

Definition 4.1 (Primary) A proper ideal $\mathfrak{q}$ of a ring A is primary if

$$\forall x, y \in A, xy \in \mathfrak{q} \Rightarrow x \in \mathfrak{q} \text{ or } y^n \in \mathfrak{q} \text{ for some } n > 0. \quad (4.1)$$

Remark

Prime ideal is primary. In other words

$$\mathfrak{q} \text{ is primary} \Leftrightarrow A/\mathfrak{q} \neq 0 \text{ and } \forall \text{ zero-divisor } \in A/\mathfrak{q} \text{ is nilpotent} \quad (4.2)$$

Remark

The contraction of a primary ideal is primary, i.e. if $f : A \rightarrow B$ and $\mathfrak{q}$ is a primary ideal in B , then $A/\mathfrak{q}^c$ is isomorphic to a subring of $B/\mathfrak{q}$.

Proposition 4.2 Let $\mathfrak{q}$ be a primary in A , the $r(\mathfrak{q})$ is the smallest prime ideal containing $\mathfrak{q}$

Proof. (Smallest) By definition, $r(\mathfrak{q})$ is contained in every prime ideal containing $\mathfrak{q}$.

(Prime) Suppose $xy \in r(\mathfrak{q})$ and $y \notin r(\mathfrak{q})$. Then $(xy)^n = x^n y^n \in \mathfrak{q}$ for some $n > 0$. Since $y^n \notin r(\mathfrak{q})$, in particular no power of y^n lies in $\mathfrak{q}$. Because $\mathfrak{q}$ is primary, we must have $x^n \in \mathfrak{q}$, so $x \in r(\mathfrak{q})$. Hence $r(\mathfrak{q})$ is prime. $\square$

Definition 4.3 (p-primary) if $\mathfrak{p} = r(\mathfrak{q})$, then $\mathfrak{q}$ is said to be $\mathfrak{p}$ -primary

Proposition 4.4 If $r(\mathfrak{a})$ is maximal, then $\mathfrak{a}$ is primary.

Proof.

$$\pi : A \rightarrow A/\mathfrak{a}, \quad r(\mathfrak{a}) = \mathfrak{m} \mapsto \overline{\mathfrak{m}} = \mathfrak{m} + \mathfrak{a} \quad (4.3)$$

$r(\mathfrak{a})$ is maximal $\Rightarrow \overline{\mathfrak{m}}$ is maximal of $A/\mathfrak{a}$

Because $\overline{\mathfrak{m}} \subset \mathfrak{N}(A/\mathfrak{a}) = \cap \overline{\mathfrak{p}}$ (where $\overline{\mathfrak{p}}$ is prime) $\Rightarrow A/\mathfrak{a}$ has only one prime ideal $\overline{\mathfrak{m}} \Rightarrow \forall r + \mathfrak{a} \in A/\mathfrak{a}$

$$\begin{cases} r + \mathfrak{a} \in \overline{\mathfrak{m}} \Rightarrow r + \mathfrak{a} \text{ is nilpotent in } A/\mathfrak{a} \\ r + \mathfrak{a} \notin \overline{\mathfrak{m}} \Rightarrow r + \mathfrak{a} \text{ is unit of } A/\mathfrak{a} \end{cases} \quad (4.4)$$

Every zero-divisor in $A/\mathfrak{a}$ is not a unit, hence is nilpotent in $A/\mathfrak{a}$. Therefore $\mathfrak{a}$ is primary. $\square$

Lemma 4.5 If $\mathfrak{q}_i (i \in \{1, \dots, n\})$ are $\mathfrak{p}$ -primary, hence $\mathfrak{q} = \bigcap_{i=1}^n \mathfrak{q}_i$ is $\mathfrak{p}$ -primary.

Proof. We know $r(\mathfrak{q}) = r(\bigcap_{i=1}^n \mathfrak{q}_i) = \bigcap_{i=1}^n r(\mathfrak{q}_i) = \mathfrak{p}$.

Let $x \cdot y \in \mathfrak{q}$ and $x \notin \mathfrak{q}$. Choose i such that $x \notin \mathfrak{q}_i$. Since $xy \in \mathfrak{q}_i$ and $\mathfrak{q}_i$ is primary, we have $y \in \mathfrak{p}$. Thus for every j , some power of y lies in $\mathfrak{q}_j$. Choosing one exponent large enough for all j , we get $y^N \in \bigcap_{j=1}^n \mathfrak{q}_j = \mathfrak{q}$. $\square$

Lemma 4.6 Let $\mathfrak{q}$ be a $\mathfrak{p}$ -primary, $x \in A$, then

1. if $x \in \mathfrak{q} \Rightarrow (\mathfrak{q} : x) = (1)$
2. if $x \notin \mathfrak{q} \Rightarrow (\mathfrak{q} : x)$ is $\mathfrak{p}$ -primary and therefore $r(\mathfrak{q} : x) = \mathfrak{p}$
3. if $x \notin \mathfrak{p} \Rightarrow (\mathfrak{q} : x) = \mathfrak{q}$

Proof. (1), (3) are clear; now prove (2).

Since $\mathfrak{q} \subset (\mathfrak{q} : x)$, we have $\mathfrak{p} = r(\mathfrak{q}) \subset r(\mathfrak{q} : x)$. If $y \in (\mathfrak{q} : x)$, then $xy \in \mathfrak{q}$ and $x \notin \mathfrak{q}$, so $y \in \mathfrak{p}$. Hence $(\mathfrak{q} : x) \subset \mathfrak{p}$ and $r(\mathfrak{q} : x) = \mathfrak{p}$.

To see that $(\mathfrak{q} : x)$ is primary, suppose $yz \in (\mathfrak{q} : x)$ and $z \notin (\mathfrak{q} : x)$. Then $xyz \in \mathfrak{q}$ and $xz \notin \mathfrak{q}$. Since $\mathfrak{q}$ is primary, $y^n \in \mathfrak{q} \subset (\mathfrak{q} : x)$ for some $n > 0$. $\square$

Definition 4.7 A primary decomposition of $\mathfrak{a}$ is

$$\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i, \quad \text{where } \mathfrak{q}_i \text{ is primary ideal.} \quad (4.5)$$

Definition 4.8 We call Equation (4.5) minimal if

1. $\mathfrak{p}_i = r(\mathfrak{q}_i)$ are all distinct
2. $\bigcap_{j \neq i} \mathfrak{q}_j \not\subset \mathfrak{q}_i$ for every i

Theorem 4.9 (1st uniqueness theorem) Let $\mathfrak{a}$ be a decomposable ideal and $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ be a minimal primary decomposition of $\mathfrak{a}$. Let $\mathfrak{p}_i = r(\mathfrak{q}_i) (1 \leq i \leq n)$.

Then the $\mathfrak{p}_i$ are precisely the prime ideals which occur among the ideals $r(\mathfrak{a} : x)$ for $x \in A$, and hence are independent of the particular decomposition of $\mathfrak{a}$.

Proof. Let $x \in A$. Since $(\bigcap_i \mathfrak{q}_i : x) = \bigcap_{i(\mathfrak{q}_i : x)}$, by Lemma 4.6 we have

$$r(\mathfrak{a} : x) = \bigcap_{x \notin \mathfrak{q}_i} \mathfrak{p}_i, \quad (4.6)$$

with factors for which $x \in \mathfrak{q}_i$ omitted. If this radical is prime, Proposition 1.6.3 implies it is one of the $\mathfrak{p}_i$.

Conversely, since the decomposition is minimal, for each i there exists $x_i \in \bigcap_{j \neq i} \mathfrak{q}_j$ with $x_i \notin \mathfrak{q}_i$. Then

$$r(\mathfrak{a} : x_i) = \cap_{j=1}^n r(\mathfrak{q}_j : x_i) = \mathfrak{p}_i \quad (4.7)$$

□

Definition 4.10 (Associated) Assume Equation (4.5) is a minimal primary decomposition of $\mathfrak{a}$. The primes $\mathfrak{p}_i = r(\mathfrak{q}_i)$ are said to belong to $\mathfrak{a}$, or to be associated with $\mathfrak{a}$.

Example. Let $\mathfrak{a} = (x^2, xy) \in A = k[x, y]$. Then $\mathfrak{a} = \mathfrak{p}_1 \cap \mathfrak{p}_2^2$, where $\mathfrak{p}_1 = (x)$ and $\mathfrak{p}_2 = (x, y)$. The ideal $\mathfrak{p}_2^2$ is primary, so $\mathfrak{p}_1, \mathfrak{p}_2$ are prime ideals. In this example, $\mathfrak{p}_1 \subset \mathfrak{p}_2$, we have $r(\mathfrak{a}) = \mathfrak{p}_1 \cap \mathfrak{p}_2 = \mathfrak{p}_1$, but $\mathfrak{a}$ is not primary.

Definition 4.11 (Minimal and embedded) The minimal elements of $\{\mathfrak{p}_i\}$ are called the minimal prime ideals belonging to $\mathfrak{a}$.

The ideal $\mathfrak{a}$ is primary $\Leftrightarrow \mathfrak{a}$ has only one associated prime ideal.

The minimal elements of the set $\{\mathfrak{p}_i\}_{i=1}^n$ are called the minimal or isolated prime ideals belonging to $\mathfrak{a}$.

The others are called embedded prime ideals.

 **Remark**

In the example above, $\mathfrak{p}_2 = (x, y)$ is embedded.

Proposition 4.12 Let $\mathfrak{a}$ be a decomposable ideal. Then any prime ideal $\mathfrak{p} \supset \mathfrak{a}$ contains a minimal prime ideal belonging to $\mathfrak{a}$.

Proof.

$$\mathfrak{p} \supset \mathfrak{a} = \cap_{i=1}^n \mathfrak{q}_i, \quad \mathfrak{p} = r(\mathfrak{q}) \supset \cap_{i=1}^n r(\mathfrak{q}_i) = \cap_{i=1}^n \mathfrak{p}_i, \quad (4.8)$$

by Proposition 1.6.3, we have $\exists$ some $i \in \{1, \dots, n\}$, s.t. $\mathfrak{p}_i \subset \mathfrak{p}$. □

Proposition 4.13 Let $\mathfrak{a}$ be a decomposable ideal with minimal primary decomposition $\mathfrak{a} = \cap_{i=1}^n \mathfrak{q}_i$, and let $\mathfrak{p}_i = r(\mathfrak{q}_i)$. Then

$$\cup_{i=1}^n \mathfrak{p}_i = \{x \in A : (\mathfrak{a} : x) \neq \mathfrak{a}\} \quad (4.9)$$

In particular, if $\mathfrak{a} = (0)$, then $D = \{x \in A : (0 : x) \neq 0\}$ is the set of zero-divisors. Then D is the union of all prime ideals belonging to (0) .

Proof. It is enough to prove the case $\mathfrak{a} = (0)$ after passing to $A/\mathfrak{a}$. Suppose first that $x \in \cup_i \mathfrak{p}_i$. Then for some i , $x \in r(\mathfrak{q}_i)$, so $x^N \in \mathfrak{q}_i$ for some N . By minimality choose $y \in \cap_{j \neq i} \mathfrak{q}_j$ with

$y \notin \mathfrak{q}_i$. For N minimal such that $x^N y \in \mathfrak{q}_i$, the element $x^{N-1}y$ is not in $\mathfrak{a}$ but is killed by x modulo $\mathfrak{a}$. Hence $(\mathfrak{a} : x) \neq \mathfrak{a}$.

Conversely, if $(\mathfrak{a} : x) \neq \mathfrak{a}$, choose $y \in (\mathfrak{a} : x)$ with $y \notin \mathfrak{a}$. Then $xy \in \mathfrak{q}_i$ for all i , while $y \notin \mathfrak{q}_i$ for some i . Since $\mathfrak{q}_i$ is primary, $x \in r(\mathfrak{q}_i) = \mathfrak{p}_i$.

For the quotient formulation, if $\mathfrak{a}$ is decomposable, then $0 \subset A/\mathfrak{a}$ is decomposable and

$$(0) = \cap_{i=1}^n \bar{\mathfrak{q}}_i, \quad \bar{\mathfrak{q}} = \pi(\mathfrak{q}_i) \text{ is primary} \quad (4.10)$$

where $\pi : A \rightarrow A/\mathfrak{a}, x \mapsto x + \mathfrak{a}$ is the natural homomorphism.

The result above applied in $A/\mathfrak{a}$ gives the stated equality. $\square$

Proposition 4.14 Let A is a ring, S is multiplicative closed subset, let $\mathfrak{q}$ be a $\mathfrak{p}$ -primary ideal, then

1. If $S \cap \mathfrak{p} \neq \emptyset \Rightarrow S^{-1}\mathfrak{q} = S^{-1}A$
2. If $S \cap \mathfrak{p} = \emptyset \Rightarrow S^{-1}\mathfrak{q}$ is a $S^{-1}\mathfrak{p}$ -primary ideal and $(S^{-1}\mathfrak{q})^c = \mathfrak{q}$.

Proof. If $S \cap \mathfrak{p} \neq \emptyset$, choose $s \in S \cap \mathfrak{p}$. Since $\mathfrak{p} = r(\mathfrak{q})$, some power $s^n \in \mathfrak{q}$. Thus $\frac{s^n}{1}$ is both a unit and an element of $S^{-1}\mathfrak{q}$, so $S^{-1}\mathfrak{q} = S^{-1}A$.

Now suppose $S \cap \mathfrak{p} = \emptyset$. By Proposition 3.2.2, $r(S^{-1}\mathfrak{q}) = S^{-1}r(\mathfrak{q}) = S^{-1}\mathfrak{p}$, which is prime. If $(\frac{x}{s})(\frac{y}{t}) \in S^{-1}\mathfrak{q}$ and $\frac{x}{s} \notin S^{-1}\mathfrak{q}$, then for some $u \in S$, $uxy \in \mathfrak{q}$. Also $\frac{x}{s} \notin S^{-1}\mathfrak{q}$ means no element of S sends x into $\mathfrak{q}$, so $ux \notin \mathfrak{q}$. Since $\mathfrak{q}$ is primary, $y^n \in \mathfrak{q}$ for some $n > 0$, hence $(\frac{y}{t})^n \in S^{-1}\mathfrak{q}$. Thus $S^{-1}\mathfrak{q}$ is primary.

Finally, if $x \in (S^{-1}\mathfrak{q})^c$, then $\frac{x}{1} \in S^{-1}\mathfrak{q}$, so $sx \in \mathfrak{q}$ for some $s \in S$. Since $s \notin \mathfrak{p}$, Lemma 4.6 gives $(\mathfrak{q} : s) = \mathfrak{q}$, hence $x \in \mathfrak{q}$. The reverse inclusion is immediate. $\square$

Remark

$$\mathfrak{q}^{ec} = (S^{-1}\mathfrak{q})^c := S(\mathfrak{q})$$

Proposition 4.15 Let S be a multiplicatively closed subset and let $\mathfrak{a}$ be decomposable. Let $\mathfrak{a} = \cap_{i=1}^n \mathfrak{q}_i$ be a minimal primary decomposition and let $\mathfrak{p}_i = r(\mathfrak{q}_i)$. Suppose that the $\mathfrak{q}_i$ are numbered such that

$$\begin{cases} S \cap \mathfrak{p}_i = \emptyset & i \leq m \\ S \cap \mathfrak{p}_i \neq \emptyset & i > m \end{cases} \quad (4.11)$$

Then $S^{-1}\mathfrak{a} = \cap_{i=1}^m S^{-1}\mathfrak{q}_i$ and $S(\mathfrak{a}) = (S^{-1}\mathfrak{a})^c = \cap_{i=1}^m \mathfrak{q}_i$. This decomposition is minimal after omitting any redundant unit components.

Proof. By Proposition 3.2.2, localization commutes with finite intersections, so

$$S^{-1}\mathfrak{a} = \cap_{i=1}^n S^{-1}\mathfrak{q}_i. \quad (4.12)$$

If $S \cap \mathfrak{p}_i \neq \emptyset$, then $S^{-1}\mathfrak{q}_i = S^{-1}A$ by the previous proposition, so those factors may be omitted. If $S \cap \mathfrak{p}_i = \emptyset$, then $S^{-1}\mathfrak{q}_i$ is $S^{-1}\mathfrak{p}_i$ -primary and its contraction is $\mathfrak{q}_i$. Therefore

$$(S^{-1}\mathfrak{a})^c = \cap_{i=1}^m (S^{-1}\mathfrak{q}_i)^c = \cap_{i=1}^m \mathfrak{q}_i. \quad (4.13)$$

The associated primes that remain are exactly the $\mathfrak{p}_i$ disjoint from S , so minimality follows from the first uniqueness theorem. $\square$

Definition 4.16 A set $\sum$ of prime ideals belonging to $\mathfrak{a}$ is isolated if whenever $\mathfrak{p}'$ belongs to $\mathfrak{a}$, $\mathfrak{p}' \subset \mathfrak{p}$, and $\mathfrak{p} \in \sum$, then $\mathfrak{p}' \in \sum$.

Theorem 4.17 (2nd uniqueness theorem) Let $\mathfrak{a}$ be a decomposable ideal,

$$\mathfrak{a} = \cap_{i=1}^n \mathfrak{q}_i \text{ is a minimal primary decomposition, } \mathfrak{p}_i = r(\mathfrak{q}_i), \quad (4.14)$$

Let $\{\mathfrak{p}_{i_1}, \dots, \mathfrak{p}_{i_k}\}$ be an isolated set of prime ideals belonging to $\mathfrak{a}$. Then $\cap_{j=1}^k \mathfrak{q}_{i_j}$ is independent of Equation (4.14).

Proof. Let $\sum = \{\mathfrak{p}_{i_1}, \dots, \mathfrak{p}_{i_k}\}$ and set

$$S = A - \cup_{\mathfrak{p} \in \sum} \mathfrak{p}. \quad (4.15)$$

Since each $\mathfrak{p}$ is prime, S is multiplicatively closed. For $\mathfrak{p} \in \sum$, clearly $S \cap \mathfrak{p} = \emptyset$. If $\mathfrak{p}_j \notin \sum$, then $\mathfrak{p}_j$ is not contained in the union of the primes in $\sum$; otherwise prime avoidance would give $\mathfrak{p}_j \subset \mathfrak{p}$ for some $\mathfrak{p} \in \sum$, and the isolated property would force $\mathfrak{p}_j \in \sum$. Hence $S \cap \mathfrak{p}_j \neq \emptyset$.

Applying the previous proposition with this S gives

$$(S^{-1}\mathfrak{a})^c = \cap_{\mathfrak{p}_i \in \sum} \mathfrak{q}_i. \quad (4.16)$$

The left side depends only on $\mathfrak{a}$ and $\sum$, not on the chosen minimal primary decomposition. Therefore the displayed intersection is independent of Equation (4.14). $\square$